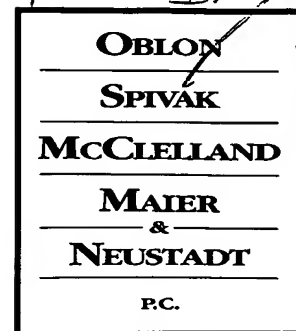




Docket No.: 212405US23

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313



ATTORNEYS AT LAW

J. DEREK MASON  
(703) 413-3000  
DMASON@OBLON.COM

RE: Application Serial No.: 10/608,207  
Applicants: Monroe E. WALL  
Filing Date: June 30, 2003  
For: CAMPTOTHECIN ANALOGS HAVING AN E-RING  
KETONE  
Group Art Unit: 1625  
Examiner: DESAI, RITA J.

SIR:

Attached hereto for filing are the following papers:

**International Search Report,  
Written Opinion of the International Searching Authority,  
Information Disclosure Statement  
PTO Form 1449, Reference (1)**

Our credit card payment form in the amount of **-\$180.00-** is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
J. Derek Mason, Ph.D.

Registration No. 35,270

Customer Number

**22850**

(703) 413-3000 (phone)  
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Docket No. 212405US23



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Monroe E. WALL

SERIAL NO: 10/608,207

GAU: 1625

FILED: June 30, 2003

EXAMINER: DESAI, RITA J.

FOR: CAMPTOTHECIN ANALOGS HAVING AN E-RING KETONE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☒ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☒ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

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MAIER & NEUSTADT, P.C.

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Registration No. 35,270



|  |    |  |            |                               |                       |  |                               |
|--|----|--|------------|-------------------------------|-----------------------|--|-------------------------------|
| Form PTO 1449<br>(Modified)  |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE                           |            | ATTY DOCKET NO.<br>212405US23 |                       | SERIAL NO.<br>10/608,207   |                               |
| LIST OF REFERENCES CITED BY APPLICANT  |    |  |            | APPLICANT<br>Monroe E. WALL   |                       |  |                               |
|  |    |  |            | FILING DATE<br>June 30, 2003  |                       | GROUP<br>1625  |                               |
| U.S. PATENT DOCUMENTS  |    |  |            |                               |                       |  |                               |
| EXAMINER<br>INITIAL  |    | DOCUMENT<br>NUMBER   | DATE       | NAME                          | CLASS                 | SUB<br>CLASS   | FILING DATE<br>IF APPROPRIATE |
|  | AA | 6,043,367  | 03/28/2000 | ROFFLER, ET AL.               |                       |  |                               |
|  | AB | 6,509,345  | 01/21/2003 | LAVIELLE, ET AL.              |                       |  |                               |
|  | AC |  |            |                               |                       |  |                               |
|  | AD |  |            |                               |                       |  |                               |
|  | AE |  |            |                               |                       |  |                               |
|  | AF |  |            |                               |                       |  |                               |
|  | AG |  |            |                               |                       |  |                               |
|  | AH |  |            |                               |                       |  |                               |
|  | AI |  |            |                               |                       |  |                               |
|  | AJ |  |            |                               |                       |  |                               |
|  | AK |  |            |                               |                       |  |                               |
|  | AL |  |            |                               |                       |  |                               |
|  | AM |  |            |                               |                       |  |                               |
|  | AN |  |            |                               |                       |  |                               |
| FOREIGN PATENT DOCUMENTS   |    |  |            |                               |                       |  |                               |
|  |    | DOCUMENT<br>NUMBER   | DATE       | COUNTRY                       | TRANSLATION<br>YES NO |  |                               |
|  | AO | EP 1 101 765 A2  | 05/23/2001 | EUROPE                        | X                     |  |                               |
|  | AP |  |            |                               |                       |  |                               |
|  | AQ |  |            |                               |                       |  |                               |
|  | AR |  |            |                               |                       |  |                               |
|  | AS |  |            |                               |                       |  |                               |
|  | AT |  |            |                               |                       |  |                               |
|  | AU |  |            |                               |                       |  |                               |
|  | AV |  |            |                               |                       |  |                               |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  |    |  |            |                               |                       |  |                               |
|  | AW | International Search Report / PCTUS04/17436, (International filing date, 06/30/2004) |            |                               |                       |  |                               |
|  | AX | Written Opinion of the International Searching Authority / PCT/US04/17436            |            |                               |                       |  |                               |
|  | AY |  |            |                               |                       |  |                               |
|  | AZ |  |            |                               |                       | <input type="checkbox"/> Additional References sheet(s) attached |                               |
| Examiner   |    |  |            |                               | Date Considered       |  |                               |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |  |            |                               |                       |  |                               |